

AMERICAN DEPOSITARY RECEIPTS PRIVACY NOTICE

This privacy notice applies to you if you are an individual and are a current or former registered holder of American Depositary Receipts representing an underlying shareholding in AstraZeneca PLC (“ADR”) and describes what personal information we collect, how that information is used and what your rights are in relation to that information.

In this privacy notice “AstraZeneca”, “we”, “us” and “our” refer to AstraZeneca PLC and [AstraZeneca Group companies](#). The registered office of AstraZeneca PLC is 1 Francis Crick Avenue, Cambridge Biomedical Campus, Cambridge, CB2 0AA and contact details in relation to this privacy notice are provided below.

AstraZeneca takes the privacy and security of your personal information very seriously. We determine the purposes and manner in which your personal information is collected, used and/or disclosed and managed in line with AstraZeneca’s [internal global privacy standards](#).

We process personal information, which may include:

- Name
- Address
- Secondary Address
- Email address and IP Address
- Bank account details
- Details of shares held
- Shareholder account number
- Voting instructions
- Corporate action elections
- Date of birth / death
- Voice and / or image
- Certificate number (issue date and cancel date)
- Telephone number
- Fax or mobile number
- Social security number

This information is processed so that we can:

- Manage your ADRs and keep the record of your holdings up-to-date;
- Make communications and shareholder meeting materials available to you, such as the Annual Report and Form 20-F Information and the Notice of Annual General Meeting;
- Pay dividends to you;
- Allow you to exercise your rights as a ADR holder, such as the right to vote at shareholder meetings; and
- Respond to any correspondence you send to us.

Your personal information is collected when you provide it to us or to other third parties engaged to carry out services. Your information is also collected when you exercise rights attached to your ADRs, such as voting. Your information might also be provided to us and other controllers by third parties, for example by an agent through which you trade in ADRs. Your voice or image may be captured and recorded, for example within a webcast recording of a shareholder meeting which you attend. The information is processed to allow us to comply with our legal obligations or to allow us to fulfil contractual obligations (“Permitted Purpose”) or where we have a legitimate interest to process the information so that we are able to communicate effectively with ADR holders.

It is important that you notify AST of any changes to your personal details (such as a change of address) so that your record on the ADR holder register can be kept up-to-date.

Your personal information will be shared where there is a legal requirement to do so, for example with regulatory agencies or government bodies. Your personal information will also be shared with third parties and may be shared with other trusted third parties engaged by us, such as our professional advisors or parties engaged to perform processing activities on our behalf. If your personal information is sent by us from the European Economic Area (EEA) to a country outside the EEA, your personal information will only be shared on a ‘need to know’ basis and under appropriate contractual restrictions (such as AstraZeneca’s [Binding Corporate Rules](#) and [EU Standard Contract Clauses](#)). You may be entitled to receive a copy of AstraZeneca’s Binding Corporate Rules and/or the EU Standard Contract Clauses upon request by contacting AstraZeneca at privacy@astrazeneca.com.

Your personal information will be kept for as long as is reasonably required to achieve the purposes for which it was collected. Your record on the ADR holder register will be maintained while you hold ADRs. If you cease to hold ADRs, your information will be kept for as long as is necessary to resolve any outstanding matters relating to your ADR holdings or to meet legal, regulatory or tax requirements.

Subject to certain exceptions, you have the right to request to access your personal information that we hold, to request that your information is rectified or deleted, to request that processing of your information is restricted or to object to our processing of your personal information. Please use our [on-line form](#) should you wish to make any such requests. In some circumstances we will not delete or stop processing your personal information when requested to do so, for example due to legal or regulatory requirements.

This privacy notice was last updated in February 2020 and may be further updated over time. An updated version of the privacy notice will then be posted here on the AstraZeneca website.

Contact

If you have any questions in relation to this privacy notice, you can contact the Data Protection Officer at AstraZeneca using privacy@astrazeneca.com

Where processing of your personal information is covered by EU law, you have the right to complain to the corresponding data protection supervisory authority in your country of residence. You can find the relevant supervisory authority name and contact details under http://ec.europa.eu/justice/data-protection/bodies/authorities/index_en.htm