

Extracted from

ASTRAZENECA GLOBAL STANDARD

CONTRIBUTIONS

Community Investment Contributions

1. PURPOSE

This Standard includes requirements for AZ employees and business units responsible for managing AZ donations, sponsorships and partnerships to third party recipient organisations (“recipients”).

2. AUDIENCE

This Standard applies to all employees of AZ and its consolidated legal entities, including all full-time and part-time directors, officers, employees and temporary staff worldwide (collectively referred to as “employees” in this Standard). This Standard also describes specific requirements for AZ business units.

Although third parties are not directly bound by the requirements of this Standard, AZ is committed to engaging only those third parties who embrace standards of ethical behaviour that are consistent with our own. When adherence to this Standard is required (for example, with respect to a contract sales organisation), this will be detailed in the signed contract or other written agreement with the third party.

3. SCOPE

This Standard supports section 4.5 of the *Global Policy on Ethical Interactions*.

In addition, local marketing companies and other business units have established relevant procedures with more detailed requirements to account for local law and adopted industry codes. When these relevant procedures are more strict than the *Global Policy on Ethical Interactions* and its supporting global documents, you must follow the more strict requirements.

4. REQUIREMENTS

4.1 General

4.1.9 Donations are the type of contributions given with a charitable intent to a non-profit or public sector organisation. Donations may or may not be for a designated activity or initiative, and AZ must not expect, require, actively seek or receive any return from a donation (including any acknowledgement or other recognition), except a modest public statement or announcement of the AZ support by the recipient and/or AZ (for example, in an annual report), to ensure transparency.

4.1.11 Sponsorships are the type of contributions given to a recipient for a designated activity or initiative, where AZ’s name is associated with the activity or initiative and/or AZ receives other substantial recognition for the sponsorship.

4.1.12 Partnerships are the type of contributions given in collaboration with a recipient for a designated activity or initiative, involving substantive, active AZ participation and resulting in the delivery of specific, measurable outcomes.

4.6 Community Investment Contributions

- 4.6.1** Community investment contributions are certain charitable donations, sponsorships and partnerships that are given only to registered charities or other legitimate non-profit organisations and that are given for the benefit of the public good. If the community investment contribution is given for a designated activity or initiative, AZ must not request or receive any control or ownership of the activity/initiative or its output. AZ must not request the promotion of an AZ product as a condition of a community investment contribution.
- 4.6.2** Community investment contributions must generally aim to support one or more of the following AZ areas of focus for community investment:
- To improve health and healthcare in the local communities in which AZ's employees live and work.
 - To promote science education and skills, in particular among young people.
 - To improve healthcare in vulnerable communities through initiatives that help to strengthen healthcare capabilities.
- 4.6.3** Generally, only activities that fall into one of the areas of focus listed in paragraph 4.6.2 qualify as community investment contributions. These activities include, but are not limited to:
- Increasing public understanding of a disease through HCOs or patient groups with related expertise.
 - Promoting health and wellbeing, including support for preventive medicine and public health initiatives.
 - Supporting innovative programs aimed at preventing and managing chronic disease.
 - Addressing unmet health needs in under-served and un-served populations.
 - Supporting health-related research conducted by non-profit organisations as part of a wider community investment initiative and directed toward the public good.
 - Encouraging science education among young people.
- 4.6.4** Contributions outside the areas of focus listed in paragraph 4.6.2, such as support for environmental causes, or support for the arts and culture, may qualify as community investment contributions on an exceptional basis, only with written senior management approval, following the requirements of this Standard's supporting global documents and relevant procedures.
- 4.6.5** Community investment contributions, like all contributions, may consist of financial or non-financial support. Specifically, donations of AZ products are permissible, following the requirements of this Standard's supporting global documents.
- 4.6.6** AZ is committed to being transparent about our community investment contributions. AZ will comply with, and may sometimes exceed, local law and adopted industry codes to make our support public. All community investment contributions (financial or non-financial, including AZ product donations) must be registered on the *AZ Community Investment Database*, following the requirements of this Standard's supporting global documents, to ensure appropriateness and to satisfy external transparency and reporting obligations.

Effective date: 15 April 2015